

Caroline S. Kim

Skadden

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SEC Reporting and Compliance; Corporate Governance; Mergers and Acquisitions



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Education

J.D., Villanova University School of Law, 2010 (Associate Editor, *Villanova Environmental Law Journal*; Editor-in-Chief, *Villanova Journal of Catholic Social Thought*)

B.A., The Johns Hopkins University, 2006

Bar Admissions

District of Columbia
Pennsylvania

Government Service

Attorney-Advisor, Division of Corporation Finance, U.S. Securities and Exchange Commission (2010-2015)

Associations

American Bar Association
Society for Corporate Governance

Caroline Kim concentrates her practice in the areas of public company reporting, corporate governance, mergers and acquisitions, and general corporate and securities matters. Ms. Kim advises clients on matters concerning Exchange Act reporting obligations, annual meetings of shareholders, insider trading (including beneficial ownership reporting under Sections 13(d) and 16 of the Exchange Act), compliance with listing requirements of the New York Stock Exchange and Nasdaq, board independence, and environmental, social and governance reporting and related matters.

Prior to joining Skadden, Ms. Kim was an attorney at the U.S. Securities and Exchange Commission in the Division of Corporation Finance. She most recently served in the division's Office of Rulemaking, where she worked on various rulemaking initiatives, including the CEO pay ratio rules mandated under the Dodd-Frank Act. Previously, Ms. Kim was in the division's Office of Natural Resources, where she worked on transactional and securities compliance matters relating to the Securities Act and the Exchange Act, including IPO and business combination registration statements, proxy statements, periodic and current reports, and confidential treatment requests.

Ms. Kim is actively involved in the firm's training and recruiting programs and has served on the summer associate Assignments Committee. She serves on the firm's Steering Committee for the Asian Affinity Network and previously served on the Diversity Committee in the Washington, D.C. office. She also is a founding member of Skadden's Immigration Impact Project and a member of the Capital Area Immigrants' Rights Coalition's Legal Advisory Committee.

While in law school, Ms. Kim was a judicial intern for Judge John R. Padova of the U.S. District Court for the Eastern District of Pennsylvania and represented clients through Villanova University's Clinic for Asylum, Refugee and Emigrant Services.

Publications

"Enhancing Disclosure Controls and Procedures Relating to Voluntary Environmental and Social Disclosures," *Society for Corporate Governance*, June 25, 2021; *Skadden, Arps, Slate, Meagher & Flom LLP*, June 29, 2021

"Chair Gensler's Insight on the SEC's New Regulatory Agenda," *Skadden, Arps, Slate, Meagher & Flom LLP*, June 28, 2021

"SEC Chair Gensler Previews Potential Changes for Rule 10b5-1 Plans," *Skadden, Arps, Slate, Meagher & Flom LLP*, June 10, 2021

"Annual Meeting Filing and Disclosure Requirements," *Skadden, Arps, Slate, Meagher & Flom LLP*, March 26, 2021

"Matters To Consider for the 2021 Annual Meeting and Reporting Season," *Skadden, Arps, Slate, Meagher & Flom LLP*, December 14, 2020

"ISS and Glass Lewis Release Updated Proxy Voting Guidelines" *Skadden, Arps, Slate, Meagher & Flom LLP*, December 7, 2020

"SEC Adopts Rules To Allow Use of Electronic Signatures," *Skadden, Arps, Slate, Meagher & Flom LLP*, November 20, 2020

"SEC Staff Explains Options Available for Expiring Confidential Treatment Orders," *Skadden, Arps, Slate, Meagher & Flom LLP*, September 22, 2020