

# Financial Institutions Regulation and Enforcement

Skadden

The Financial Institutions Regulation and Enforcement Group at Skadden regularly advises on the full range of regulatory, enforcement and legislative matters affecting banks, thrifts, securities firms, funds, nonbank lenders, insurance companies and other financial services firms.

Our clients frequently call on us to counsel on investigations and resolutions of actions by federal and state regulatory agencies, mergers and acquisitions, capital raising and corporate finance transactions, and charter formations. We also advise on compliance with Dodd-Frank regulatory reform legislation and related rulemaking, including regarding Volcker Rule, resolution planning and enhanced prudential standards. Skadden has experience working with every major U.S. federal and state regulator of financial services, as well as the financial regulators of many European jurisdictions.

The group regularly advises clients in ongoing developments affecting the financial sector, including in connection with regulatory enforcement actions, civil and criminal investigations, troubled banks and the FDIC, private equity investment, legislative proposals and subprime-related litigation.

Skadden has represented clients in civil and criminal investigations, enforcement and applications involving economic sanctions, the Bank Secrecy Act and other anti-money laundering requirements, the Bank Holding Company Act, Change in Bank Control Act, Home Owners' Loan Act, fair lending and consumer protection laws, privacy regulations, the Community Reinvestment Act, securities and commodities trading practices, government ethics rules and similar matters.

We also represent global financial institutions on cross-border compliance and enforcement matters, including examinations, internal investigations, voluntary disclosures, and the resolution of administrative and enforcement proceedings involving federal and state regulatory agencies and law enforcement. Our attorneys are experienced in a wide variety of matters involving cross-border anti-money laundering, economic sanctions, banking secrecy and data protection compliance matters.

Skadden monitors legislative developments in the U.S. Congress, including proposals for restructuring and reforming the financial regulatory regime. We also continue to counsel clients on all aspects of financial regulatory reform, including advising on the implementation of the Dodd-Frank Act and on transactional and compliance responses to the act.

Skadden was named *The American Lawyer's* 2018 White Collar/Regulatory Litigation Department of the Year. We were named Financial Services Regulatory Team of the Year at the 2017 *International Financial Law Review* Americas Awards, and we received a 2017 *Chambers USA* Award for Excellence for having the nation's top Securities and Financial Services Regulation practice. Skadden was once again one of only five firms recognized in the Sanctions Law Firm of the Year — USA category at the WorldECR 2017 awards. Our practice is ranked in the top tier by *U.S. News — Best Lawyers Best Law Firms* and *IFLR1000*. *Chambers USA: America's Leading Lawyers for Business* has repeatedly ranked Skadden and our attorneys for our financial regulatory work in the areas of banking, consumer finance and financial institutions M&A.