Pallas A. Comnenos



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Capital Markets

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Education

J.D., Florida State University (High Honors; Order of the Coif; Editor-in-Chief, *Florida State University Law Review*)

M.A., University of Florida

B.A., University of Florida (magna cum laude)

Bar Admissions

New York

Florida

Experience

Law Clerk, Hon. Patricia C. Fawsett, U.S. District Court for the Middle District of Florida Pallas Comnenos has extensive experience in advising a wide range of issuer and broker-dealer clients on compliance with FINRA regulations relating to underwritings of public offerings of securities. In particular, Ms. Comnenos has significant experience with initial public offerings by special purpose acquisition companies (SPACs) and de-SPAC transactions. She also has significant experience in state securities laws compliance in connection with public and private offerings.

Ms. Comnenos serves as vice chair of the American Bar Association FINRA Corporate Financing Rules Subcommittee and is a member of the American Bar Association State Regulation of Securities Subcommittee.