

Partner, New York

Government Enforcement and White Collar Crime



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## Education

J.D., Harvard Law School, 1995  
(*cum laude*)

B.A., Cornell University, 1992  
(*cum laude*, Phi Beta Kappa)

## Bar Admissions

New York

## Experience

Law Clerk, Hon. William H. Walls,  
U.S. District Court, District of  
New Jersey (1995-1996)

Assistant U.S. Attorney, Southern  
District of New York (1999-2004)

Steven Glaser has focused his practice on government enforcement and white collar crime matters for almost 20 years. He represents corporations, officers, directors and employees in a wide variety of criminal and civil enforcement matters. He has extensive trial and appellate experience, and has handled complex white collar matters in both federal and state courts, and in connection with investigations by the Department of Justice, the Securities and Exchange Commission, the Commodity Futures Trading Commission, the Financial Industry Regulatory Authority, the Federal Energy Regulatory Commission, various state attorneys general's offices, as well as district attorneys' offices. The matters he handles include those involving securities and commodities fraud, violations of the FCPA, price-fixing, health care fraud and money laundering offenses.

Mr. Glaser repeatedly has been selected for inclusion in *Chambers USA: America's Leading Lawyers for Business* and *The Best Lawyers in America*. In addition, he represented Stilwell Value LLC and Joseph Stilwell in the first federal litigation challenging the constitutionality of the Securities and Exchange Commission's administrative law judges under Article II of the U.S. Constitution. In 2015, the Stilwell settlement was shortlisted for the *Financial Times* North America "Innovative Lawyers" report's award for "Protecting Client's Business."

Mr. Glaser served as an Assistant United States Attorney for the Southern District of New York for more than five years. As a member of the United States Attorney's Office's Securities and Commodities Fraud Task Force, Mr. Glaser investigated and prosecuted a broad array of complex matters, including insider trading, accounting fraud, investment advisory fraud, and fraud in connection with both securities and commodities trading. Mr. Glaser also worked closely with civil regulatory agencies, including the Securities and Exchange Commission and FINRA.

Mr. Glaser, by appointment by the judges of the United States District Court for the Southern District of New York, serves on the Criminal Justice Act panel. In this capacity, he represents indigent defendants in federal criminal prosecutions.

Mr. Glaser frequently lectures and writes about current legal developments. He has received Burton Awards for Distinguished Writing (2015 and 2006), which recognize excellence in legal scholarship.

## Selected Publications

"Law on Insider Trading Misappropriation Theory Remains Unsettled," *New York Law Journal*, November 3, 2014

"Statutes of Limitations for Equitable and Remedial Relief in SEC Enforcement Actions," *Harvard Business Law Review*, Vol. 4, No. 1, Spring 2014

"Use vs. Possession in Insider Trading Cases," *New York Law Journal*, July 9, 2012

"Trends in White Collar Crime Investigations, Prosecutions," *Law360*, March 15, 2012

"Similar Does Not Mean Identical," *New York Law Journal*, July 11, 2011

"Statute of Limitations on Obtaining Evidence in Foreign Jurisdictions," *New York Law Journal*, February 16, 2010