

Partner, New York

White Collar Defense and Investigations



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Education

J.D., Harvard Law School, 1995
(cum laude)

B.A., Cornell University, 1992
(cum laude, Phi Beta Kappa)

Bar Admissions

New York

Experience

Law Clerk, Hon. William H. Walls,
U.S. District Court, District of
New Jersey (1995-1996)

Assistant U.S. Attorney, Southern
District of New York (1999-2004)

For over 25 years, Steven Glaser has focused his practice on government enforcement and white collar crime matters and compliance advice. He represents corporations, boards, committees, officers, directors and employees in a wide variety of criminal and civil enforcement matters. Mr. Glaser has extensive trial and appellate experience, and has handled complex white collar cases in both federal and state courts, and in connection with investigations by the Department of Justice, the Securities and Exchange Commission, the Commodity Futures Trading Commission, the Financial Industry Regulatory Authority, the Federal Energy Regulatory Commission, various state attorneys general's offices, as well as district attorneys' offices. The matters he handles include those involving securities and commodities fraud, violations of the FCPA, price-fixing, health care fraud and money laundering offenses.

Mr. Glaser also counsels clients in connection with regulatory compliance matters, including investment advisers and other entities in the context of securities and commodities trading, related-party transactions, custody rule violations and related issues. He advises registered investment advisers in connection with examinations by the SEC's Division of Examinations, and also counsels entities focusing on or investing in blockchains or digital assets.

Mr. Glaser repeatedly has been selected for inclusion in *Chambers USA: America's Leading Lawyers for Business* and *The Best Lawyers in America*, with clients in *Chambers* praising him for his "attentive[ness] to client needs" and status as a "go-to litigator for major complex litigation." His noteworthy representations include Stilwell Value LLC and Joseph Stilwell in the first federal litigation challenging the constitutionality of the Securities and Exchange Commission's administrative law judges under Article II of the U.S. Constitution. The Stilwell settlement was shortlisted for the *Financial Times'* North America Innovative Lawyers Award for protecting clients' business.

Mr. Glaser served as an Assistant United States Attorney for the Southern District of New York for more than five years. As a member of the United States Attorney's Office's Securities and Commodities Fraud Task Force, Mr. Glaser investigated and prosecuted a broad array of complex matters, including insider trading, accounting fraud, investment advisory fraud, and fraud in connection with both securities and commodities trading. Mr. Glaser also worked closely with civil regulatory agencies, including the Securities and Exchange Commission and FINRA.

Mr. Glaser frequently lectures and writes about current legal developments. He has twice received Burton Awards for Distinguished Writing, which recognize excellence in legal scholarship.

Selected Publications

"DOJ and SEC Issue Second Edition of the FCPA Resource Guide," *Skadden, Arps, Slate, Meagher & Flom LLP*, July 15, 2020

"SEC Enforcement Division Issues Annual Report: Increase in Enforcement Actions and Focus on Main Street and Cyber-Related Fraud," *Skadden, Arps, Slate, Meagher & Flom LLP*, November 8, 2018

"When Legal Privilege Clashes With the Sixth Amendment," *Global Investigations Review*, August 9, 2016

"Law on Insider Trading Misappropriation Theory Remains Unsettled," *New York Law Journal*, November 3, 2014

"Statutes of Limitations for Equitable and Remedial Relief in SEC Enforcement Actions," *Harvard Business Law Review*, Vol. 4, No. 1, Spring 2014