# Caroline S. Kim



Counsel, Washington, D.C.

SEC Reporting and Compliance; Corporate Governance; Mergers and Acquisitions



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#### **Education**

J.D., Villanova University School of Law, 2010 (Associate Editor, Villanova Environmental Law Journal; Editor-in-Chief, Villanova Journal of Catholic Social Thought)

B.A., The Johns Hopkins
University, 2006

#### **Bar Admissions**

District of Columbia

Pennsylvania

### **Government Service**

Attorney-Advisor, Division of Corporation Finance, U.S. Securities and Exchange Commission (2010-2015)

## **Associations**

American Bar Association

Society for Corporate Governance

Caroline Kim concentrates her practice in the areas of public company reporting, corporate governance, mergers and acquisitions, and general corporate and securities matters. Ms. Kim advises clients on matters concerning Exchange Act reporting obligations, annual meetings of shareholders, insider trading (including beneficial ownership reporting under Sections 13(d) and 16 of the Exchange Act), compliance with listing requirements of the New York Stock Exchange and Nasdaq, board independence, and environmental, social and governance reporting and related matters.

Prior to joining Skadden, Ms. Kim was an attorney at the U.S. Securities and Exchange Commission in the Division of Corporation Finance. She most recently served in the division's Office of Rulemaking, where she worked on various rulemaking initiatives, including the CEO pay ratio rules mandated under the Dodd-Frank Act. Previously, Ms. Kim was in the division's Office of Natural Resources, where she worked on transactional and securities compliance matters relating to the Securities Act and the Exchange Act, including IPO and business combination registration statements, proxy statements, periodic and current reports, and confidential treatment requests.

Ms. Kim is actively involved in the firm's training and recruiting programs and has served on the summer associate Assignments Committee. She serves on the firm's Steering Committee for the Asian Affinity Network and previously served on the Diversity Committee in the Washington, D.C. office. She also is a founding member of Skadden's Immigration Impact Project and a member of the Capital Area Immigrants' Rights Coalition's Legal Advisory Committee.

While in law school, Ms. Kim was a judicial intern for Judge John R. Padova of the U.S. District Court for the Eastern District of Pennsylvania and represented clients through Villanova University's Clinic for Asylum, Refugee and Emigrant Services.

In 2022, Ms. Kim was selected as a Rising Star by *Law360*, which recognizes "attorneys under 40 whose legal accomplishments belie their age."

## **Selected Publications**

"SEC Proposes New Rules for Climate-Related Disclosures," *Skadden, Arps, Slate, Meagher & Flom LLP*, March 24, 2022

"What Exactly Is an Independent Director?" Harvard Law School Forum on Corporate Governance, March 17, 2022

"SEC Proposes New Rules for Cybersecurity Risk Management, Strategy, Governance and Incident Disclosure," *Skadden, Arps, Slate, Meagher & Flom LLP*, March 11, 2022

"Annual Meeting Filing and Disclosure Reminders," *Skadden, Arps, Slate, Meagher* & *Flom LLP*, March 4, 2022

"ESG: 2021 Trends and Expectations for 2022" *Harvard Law School Forum on Corporate Governance*, February 25, 2022

"SEC Announces Proposals Relating to Rule 10b5-1, Share Repurchases and Other Matters," *Skadden, Arps, Slate, Meagher & Flom LLP*, December 20, 2021

"Matters To Consider for the 2022 Annual Meeting and Reporting Season," *Skadden, Arps, Slate, Meagher & Flom LLP*, December 7, 2021

"SEC Approves Nasdaq Board Diversity Listing Standards," *Skadden, Arps, Slate, Meagher & Flom LLP*, August 10, 2021

"Enhancing Disclosure Controls and Procedures Relating to Voluntary Environmental and Social Disclosures," *Society for Corporate Governance*, June 25, 2021; *Skadden, Arps, Slate, Meagher & Flom LLP*, June 29, 2021