Caroline S. Kim



Counsel, Washington, D.C.

SEC Reporting and Compliance; Corporate Governance; Mergers and Acquisitions



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Education

J.D., Villanova University School of Law, 2010 (Associate Editor, Villanova Environmental Law Journal; Editor-in-Chief, Villanova Journal of Catholic Social Thought)

B.A., The Johns Hopkins
University 2006

Bar Admissions

District of Columbia

Pennsylvania

Government Service

Attorney-Advisor, Division of Corporation Finance, U.S. Securities and Exchange Commission (2010-2015)

Associations

American Bar Association

Society for Corporate Governance

Caroline Kim concentrates her practice in the areas of public company reporting, corporate governance, ESG, and general corporate and securities matters. Ms. Kim advises clients on matters concerning Exchange Act reporting obligations, annual meetings of shareholders, insider trading, compliance with listing requirements of the New York Stock Exchange and Nasdaq, board independence, and ESG reporting and related matters.

Prior to joining Skadden, Ms. Kim was an attorney at the U.S. Securities and Exchange Commission in the Division of Corporation Finance. She most recently served in the division's Office of Rulemaking, where she worked on various rulemaking initiatives, including the CEO pay ratio rules mandated under the Dodd-Frank Act. Previously, Ms. Kim was in the division's Office of Natural Resources, where she worked on transactional and securities compliance matters relating to the Securities Act and the Exchange Act, including IPO and business combination registration statements, proxy statements, periodic and current reports, and confidential treatment requests.

Ms. Kim is actively involved in the firm's training and recruiting programs and has served on the summer associate Assignments Committee. She also is a founding member of Skadden's Immigration Impact Project and a member of the Capital Area Immigrants' Rights Coalition's Legal Advisory Committee.

While in law school, Ms. Kim was a judicial intern for Judge John R. Padova of the U.S. District Court for the Eastern District of Pennsylvania and represented clients through Villanova University's Clinic for Asylum, Refugee and Emigrant Services.

Ms. Kim has been honored as a Rising Star by *Law360* and a Rising Star in Corporate Governance by Euromoney's *Expert Guides*.

Selected Publications

"SEC Leadership Change Results in Key Policy Developments," *Skadden Publication*, February 25, 2025

"Nasdaq Board Diversity Rules Vacated by the Fifth Circuit," *Wall Street Lawyer*, January 2025

"Form 20-F for Fiscal Year 2024: What Foreign Private Issuers Should Keep in Mind," *Skadden Publication*, January 16, 2025

"Skadden Offers a Review of ESG in 2024 and Key Trends for 2025," *The CLS Blue Sky Blog*, January 9, 2025

"California Seeks Public Input on Climate Reporting Requirements," *Skadden Publication*, December 20, 2024 "Matters To Consider for the 2025 Annual Meeting and Reporting Season," *Skadden Publication*, December 11, 2024

"Enhancing Controls and Procedures for Climate-Related Disclosures," *Harvard Law School Forum on Corporate Governance*, December 10, 2024

"SEC Climate Disclosure Rules: Your Questions Answered," *Insights: The Corporate & Securities Law Advisor*, July 2024

"Preparing Now for the SEC's New Climate Rules," *Harvard Law School Forum on Corporate Governance*, May 22, 2024