

Andrew M. Lawrence

Skadden

Partner, Washington, D.C.

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Education

LL.M. (Securities and Financial Regulation), Georgetown University Law Center, 2004

J.D., Villanova University School of Law, 1999

B.A., Wake Forest University, 1996
(*cum laude*)

Bar Admissions

District of Columbia
New Jersey
New York

Government Service

Senior Counsel, Division of Enforcement, U.S. Securities and Exchange Commission (2002-2004)

Staff Attorney, Division of Enforcement, U.S. Securities and Exchange Commission (1999-2002)

Andrew M. Lawrence represents a wide range of clients in investigations by the U.S. Securities and Exchange Commission (SEC), the U.S. Department of Justice (DOJ), other federal and state law enforcement agencies and the Financial Industry Regulatory Authority (FINRA).

Mr. Lawrence's experience in securities-related matters includes the representation of corporations and their officers, directors and employees in investigations regarding financial reporting, disclosure, stock options backdating and insider trading matters. He also has represented broker-dealers and mutual fund complexes in SEC and other federal and state regulatory investigations related to trading, disclosure and market-timing matters. In addition, Mr. Lawrence regularly advises clients on securities law compliance issues.

Mr. Lawrence has conducted numerous internal investigations on behalf of U.S. and foreign public company boards and audit committees. In particular, Mr. Lawrence has represented several clients in connection with DOJ and SEC investigations related to the Foreign Corrupt Practices Act (FCPA), and he has extensive experience conducting global investigations for companies with issues arising under the FCPA. Mr. Lawrence has conducted investigations for clients involving FCPA-related issues in Africa, Asia, Europe, Latin America and the Middle East. He also regularly advises corporations regarding the development and enhancement of compliance structures and related policies and procedures designed to prevent and detect potential violations of law.

Mr. Lawrence's representations have included:

- several public companies and audit committees in internal investigations, and related DOJ and SEC investigations, into potential violations of the FCPA;
- a global financial services firm in investigations by the SEC and FINRA of mutual fund sales and trading practices;
- a former officer of a major financial services firm in investigations by the SEC, state attorneys general and the DOJ regarding the sale of residential mortgage-backed securities;
- a technology company and the company's audit committee in an SEC investigation of stock option granting practices;
- a global reinsurance company in the settlement of an SEC investigation into an alleged fraudulent accounting scheme by an entity that the company had acquired involving certain nontraditional insurance and reinsurance transactions;
- the chairman of the board of directors of an insurance company in an SEC investigation of compensation disclosure issues; and
- the general counsel of a technology company in investigations by the SEC and DOJ of alleged accounting fraud.

Prior to joining Skadden, Mr. Lawrence worked in the SEC Division of Enforcement, where he was responsible for investigating and prosecuting individuals and entities for alleged violations of the federal securities laws and SEC regulations.

Mr. Lawrence has written extensively on SEC enforcement and white collar criminal matters.

Publications

“Closer Scrutiny Expected of Corporate Conduct,” *Skadden Insights: Biden’s First 100 Days*, April 30, 2021

“Priorities To Shift for Biden’s SEC,” *Skadden’s 2021 Insights*, January 26, 2021

“US-China Trade and Enforcement Issues: What’s Next?” *Skadden’s 2021 Insights*, January 26, 2021

“Appearing and Practicing Before the SEC: Ethical Considerations for Corporate Counsel,” *PLI Ethics Programs: Winter 2021*, January 2021

“Cross-Border Investigations Update – December 2020,” *Skadden, Arps, Slate, Meagher & Flom LLP*, December 2020

“Supreme Court Preserves SEC’s Ability To Seek Disgorgement, With Limitations,” *Skadden, Arps, Slate, Meagher & Flom LLP*, June 23, 2020

“SEC Enters Election Year Focused on Key Initiatives,” *Skadden’s 2020 Insights*, January 21, 2020

“Cross-Border Investigations Update – September 2019,” *Skadden, Arps, Slate, Meagher & Flom LLP*, September 2019

“*Lorenzo v. SEC*: SCOTUS Decides Misstatement Issue,” *New York Law Journal*, March 28, 2019

Supreme Court Rules That Misstatement From Someone Who Is Not Its ‘Maker’ Can Still Be Basis of Fraudulent Scheme Claim,” *Skadden, Arps, Slate, Meagher & Flom LLP*, March 27, 2019

“SEC Continues Steady Progress With Regulatory, Enforcement Goals,” *Skadden’s 2019 Insights*, January 17, 2019

“Cross-Border Investigations Update – January 2019,” *Skadden, Arps, Slate, Meagher & Flom LLP*, January 2019

“Decisions, Decisions: Leading Cases on the Supreme Court’s 2018 Business Docket,” *U.S. News – Best Lawyers “Best Law Firms” 2019*, December 2018

“DOJ Announces Revisions to Yates Memorandum Policy,” *Skadden, Arps, Slate, Meagher & Flom LLP*, December 10, 2018

“SEC Enforcement Division Issues Annual Report: Increase in Enforcement Actions and Focus on Main Street and Cyber-Related Fraud,” *Skadden, Arps, Slate, Meagher & Flom LLP*, November 8, 2018

“SEC Investigative Report on Cybersecurity Emphasizes Internal Controls,” *Skadden, Arps, Slate, Meagher & Flom LLP*, August 2018

“Cross-Border Investigations Update – August 2018,” *Skadden, Arps, Slate, Meagher & Flom LLP*, August 2018

“Cross-Border Investigations Update – November 2017,” *Skadden, Arps, Slate, Meagher & Flom LLP*, November 2017

“Supreme Court Applies Statute of Limitations to SEC Disgorgement Orders,” *Skadden, Arps, Slate, Meagher & Flom LLP*, June 7, 2017

“Cross-Border Investigations Update – December 2016,” *Skadden, Arps, Slate, Meagher & Flom LLP*, December 2016

“Cross-Border Investigations Update – June 2016,” *Skadden, Arps, Slate, Meagher & Flom LLP*, June 2016

DOJ Adds Resources for FCPA Cases, Offers Incentives for Voluntary Disclosures,” *Skadden, Arps, Slate, Meagher & Flom LLP*, April 18, 2016

“Cross-Border Investigations Update - November 2015,” *Skadden, Arps, Slate, Meagher & Flom LLP*, November 2015