

Associate, Washington, D.C.

Litigation; SEC Enforcement; Government Enforcement and White Collar Crime



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Education

J.D., The George Washington University Law School, 2010

B.A., George Washington University, 2006

Bar Admissions

District of Columbia

U.S. District Court for the District of Columbia

Experience

Law Clerk, Hon. Craig S. Iscoe, District of Columbia Superior Court (2010-11)

FINRA Institute at Wharton Certified Regulatory and Compliance Professional™ (CRCPTM) Program (2017)

Kate Lesker represents corporations, regulated entities, and individuals in securities enforcement matters before the U.S. Department of Justice (DOJ), U.S. Securities and Exchange Commission (SEC), the Financial Industry Regulatory Authority (FINRA), and other regulatory authorities. She has extensive experience with internal investigations relating to accounting and disclosure, insider trading, market manipulation, and Foreign Corrupt Practices Act matters. She also supports corporations and regulated entities in developing insider trading policies and procedures tailored to business operations and needs.

Ms. Lesker has completed a secondment to a major international financial institution's global financial crimes legal advisory team, counseling on a range of money laundering, bribery and corruption and sanctions matters.

Her matters have included representing:

- a public company in investigations by the SEC and the DOJ involving allegations of accounting fraud;
- a global financial services firm in an investigation by the SEC of corporate bond offering sales and trading practices;
- a senior executive of a public company in an internal investigation regarding potential violations of Regulation FD;
- a financial advisor in SEC and FINRA investigations involving the federal margin rules;
- a public company in an internal investigation into potential violations of the Foreign Corrupt Practices Act; and
- a financial firm in a FINRA insider trading investigation.

Prior to joining Skadden, Ms. Lesker clerked for the Hon. Craig S. Iscoe of the Superior Court of the District of Columbia.

Ms. Lesker also is active in the firm's diversity leadership initiatives and serves on Skadden's women and Asian American affinity groups.

Publications

"Practicing Before the SEC: Ethical Considerations for Counsel," *The Review of Securities & Commodities Regulation*, December 2013