

Partner, New York

Investment Management



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Education

J.D., University of Connecticut, 1990
(Highest Honors; Articles Editor,
Connecticut Law Review)

B.A., Smith College, 1985

Bar Admissions

New York

Connecticut

Experience

Law Clerk, Hon. Ellen Bree Burns,
U.S. District Court for the District
of Connecticut (1990-1992)

Associations

Chair, Private Fund Committee,
New York State Bar Association;
Executive Committee of the Business
Law Section, New York State Bar
Association; Former Chair of the
Business Law Section and the
Securities Regulation Committee,
New York State Bar Association

Member, ABA Hedge Fund and
Institutional Investor Committees

Anastasia Rockas focuses her practice on private investment funds and private investments. Ms. Rockas' private investment fund practice comprises representing sponsors in forming various types of domestic and offshore funds, such as funds of funds, real estate funds, private equity funds, debt funds, captive funds, venture capital funds, impact funds, hedge funds, including those targeted to particular industries, countries or regions. She represents significant investors, such as family offices, sovereign wealth funds, pension plans and other institutional investors, in their investments in private funds. Ms. Rockas' practice includes representation of investment advisers as well as investors in connection with managed accounts, funds of one and investment advisory agreements. She has extensive experience representing investment advisers as well as private equity investment principals in negotiating and documenting their management company and general partner structures, including their incentive compensation arrangements, employment matters and succession issues. Her practice includes all aspects of structuring of, and investments by, family offices. Ms. Rockas works on a variety of investment transactions involving private funds, including investments in investment advisory businesses and other types of equity investments.

Ms. Rockas assists investment advisers with various regulatory and compliance matters, such as investment adviser registrations with the SEC and ongoing compliance, SEC examinations, regulatory filings with the SEC and the Treasury Department, as well as CFTC and ERISA issues.

Ms. Rockas is an active member of various bar and professional associations. She is currently the chair of the New York State Bar Association's Business Law Section. She also is a former chair of the New York State Bar Association's bar securities regulation committee and is the co-founder, former chair and member of New York State Bar Association's Private Investment Fund Subcommittee. Ms. Rockas is a former member of the Managed Fund Association's Outside Counsel Forum. Additionally, she is a member of the American Bar Association's Hedge Fund and Institutional Investor committees. Ms. Rockas was named Best in Investment Funds at Euromoney's 2020 Women in Business Law Americas Awards and has been named to *The Best Lawyers in America* and *The Legal 500 U.S.*

Selected Publications

"SEC Proposes New Disclosure Rule for Security-Based Swap Positions," *Skadden, Arps, Slate, Meagher & Flom LLP*, January 21, 2022

"SEC Proposes To Raise Form 13F Reporting Threshold From \$100 Million to \$3.5 Billion," *Skadden, Arps, Slate, Meagher & Flom LLP*, July 21, 2020

"SEC Adopts Rules and Interpretations Related to Standards of Conduct for Broker-Dealers and Investment Advisers," *Skadden, Arps, Slate, Meagher & Flom LLP*, June 5, 2019

"OCIE Releases Results of Cybersecurity Examination Initiative," *Skadden, Arps, Slate, Meagher & Flom LLP*, August 30, 2017

"SEC Charges Private Equity Fund Adviser as an Unregistered Broker," *Skadden, Arps, Slate, Meagher & Flom LLP*, June 16, 2016

"Labor Department Redefines 'Fiduciary' for ERISA and Internal Revenue Code Purposes," *Skadden, Arps, Slate, Meagher & Flom LLP*, April 25, 2016