

Partner, New York

Investment Management



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## Education

J.D., University of Connecticut, 1990  
(Highest Honors; Articles Editor,  
*Connecticut Law Review*)

B.A., Smith College, 1985

## Bar Admissions

New York

Connecticut

## Experience

Law Clerk, Hon. Ellen Bree Burns,  
U.S. District Court for the District  
of Connecticut (1990-1992)

## Associations

Chair, Private Fund Committee,  
New York State Bar Association;  
Executive Committee of the Business  
Law Section, New York State Bar  
Association; Former Chair of the  
Business Law Section and the  
Securities Regulation Committee,  
New York State Bar Association

Member, ABA Hedge Fund and  
Institutional Investor Committees

Anastasia Rockas focuses her practice on private investment funds and private investments.

Ms. Rockas' private investment fund practice comprises representing sponsors in forming various types of domestic and offshore funds, such as funds of funds, real estate funds, private equity funds, debt funds, captive funds, venture capital funds, impact funds, hedge funds, including those targeted to particular industries, countries or regions. She represents significant investors, such as family offices, sovereign wealth funds, pension plans and other institutional investors, in their investments in private funds. Ms. Rockas' practice includes representation of investment advisers as well as investors in connection with managed accounts, funds of one and investment advisory agreements. She has extensive experience representing investment advisers as well as private equity investment principals in negotiating and documenting their management company and general partner structures, including their incentive compensation arrangements, employment matters and succession issues. Her practice includes all aspects of structuring of, and investments by, family offices. Ms. Rockas works on a variety of investment transactions involving private funds, including investments in investment advisory businesses and other types of equity investments.

Ms. Rockas assists investment advisers with various regulatory and compliance matters, such as investment adviser registrations with the SEC and ongoing compliance, SEC examinations, regulatory filings with the SEC and the Treasury Department, as well as CFTC and ERISA issues.

Ms. Rockas is an active member of various bar and professional associations. She is currently the chair and co-founder of the New York State Bar Association's Private Fund Committee. Additionally, she is a member of the American Bar Association's Private Fund and Institutional Investor committees. She also is a former chair of the New York State Bar Association's Business Law Section and Securities Regulation Committee and a former member of the Managed Fund Association's Outside Counsel Forum. Ms. Rockas was named Best in Investment Funds at Euromoney's 2020 Women in Business Law Americas Awards and has been named to *The Best Lawyers in America* and *The Legal 500 U.S.*

## Selected Publications

"SEC Risk Alert Describes Examination Areas for Newly Registered Advisers," *Skadden, Arps, Slate, Meagher & Flom LLP*, May 15, 2023

"Skadden Discusses Proposed SEC Expansion of Custody Rule Safeguards for Investment Advisers," *The CLS Blue Sky Blog*, April 4, 2023

"SEC Proposes Expansion of Custody Rule Safeguards for Investment Advisers," *Skadden, Arps, Slate, Meagher & Flom LLP*, March 24, 2023

"SEC Proposes Rule on Outsourcing by Investment Advisers," *Skadden, Arps, Slate, Meagher & Flom LLP*, November 22, 2022

"SEC Proposes New Disclosure Rule for Security-Based Swap Positions," *Skadden, Arps, Slate, Meagher & Flom LLP*, January 21, 2022

"SEC Proposes To Raise Form 13F Reporting Threshold From \$100 Million to \$3.5 Billion," *Skadden, Arps, Slate, Meagher & Flom LLP*, July 21, 2020