

Partner, Chicago

Litigation; White Collar Defense and Investigations



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## Education

J.D., University of Chicago Law School, 1987 (*cum laude*; Comment Editor, *University of Chicago Law Review*; Order of the Coif)

B.A., Knox College, 1984 (*cum laude*)

## Bar Admissions

Illinois

U.S.C.A., Sixth and Seventh Circuits

U.S. Supreme Court

## Experience

Law Clerk, Hon. Richard D. Cudahy, U.S. Court of Appeals for the Seventh Circuit (1987-1988)

Chuck Smith represents a broad array of U.S. and international companies, as well as their top executives, in complex regulatory investigations, enforcement matters and litigation.

Mr. Smith is an experienced trial lawyer, having tried many cases in federal and state courts around the country. These cases typically involve complex securities, accounting, financial or bankruptcy-related issues. He has represented many companies and their officers and directors in securities and derivative litigation, including Bank of America, Fifth Third Bank, UBS, Sprint Corporation, Fidelity Investments and Allstate Corporation.

He has extensive experience navigating complex multi-layered matters that involve both litigation and regulatory enforcement. Mr. Smith has represented many companies and individuals in SEC, DOJ, CFTC and FINRA enforcement matters. Among these clients are Bank of America, CME Group and Ameriprise Financial. He regularly represents corporate officers and directors in investigations.

Mr. Smith also has represented a number of clients in high-profile investigations under the Foreign Corrupt Practices Act. He has represented a major financial exchange, manufacturers, medical device companies and many other clients in investigations, as well as transaction due diligence and training on compliance matters.

Mr. Smith is a frequent speaker on litigation, compliance and enforcement issues. He is a 2014 recipient of the Excellence in the Law Award from the National Diversity Council. This award recognizes attorneys in the Chicago area who have distinguished themselves through their leadership, resolve and outstanding achievements for the benefit of their clients, collaborators and communities. Mr. Smith is a member of the Executive Committee of the Board of Trustees of the Joffrey Ballet of Chicago and is a trustee emeritus at Knox College, in Galesburg, Illinois. Selected by the board of trustees of the University of Chicago, Mr. Smith previously served on its Law School Council, a body comprised of judges, senior corporate executives and practicing lawyers that advises the law school on strategic matters.

Mr. Smith has been ranked repeatedly in Band 1 of *Chambers USA* for his work in securities litigation, with clients describing him as a “masterful practitioner” and an “outstanding attorney.” He also has been recognized repeatedly in *The Best Lawyers in America* and named a Client Service All-Star by The BTI Consulting Group.

## Selected Publications

“Inside the Courts: An Update From Skadden Securities Litigators,” (Recurring Publication), *Skadden, Arps, Slate, Meagher & Flom LLP*

“Cross-Border Investigations Update,” (Recurring Publication), *Skadden, Arps, Slate, Meagher & Flom LLP*

“Managing and Resolving Commercial Disputes 2023: Preparing For Disputes and Managing Costs,” *Financier Worldwide*, 2023

“Expect More SEC Enforcement Against Auditors This Year,” *Law360*, January 12, 2023

“Special Litigation Committees,” *LexisNexis Practical Guidance*, 2022

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“Revisions to the DOJ’s Corporate Criminal Enforcement Policy Will Require Companies To Reevaluate Their Compliance Systems,” *Skadden, Arps, Slate, Meagher & Flom LLP*, October 6, 2022

“Seventh Circuit Holds That Delaware Forum Bylaw Cannot Force Litigation of Securities Exchange Act Claims in Delaware State Court,” *Skadden, Arps, Slate, Meagher & Flom LLP*, January 11, 2022

“SEC Adopts Amendments to Auditor Independence Rules,” *Skadden, Arps, Slate, Meagher & Flom LLP*, October 19, 2020

“SEC’s Audit Proposal May Bolster Compliance, Competition,” *Law360*, January 13, 2020

“SEC Proposes Amendments to Auditor Independence Rules,” *Skadden, Arps, Slate, Meagher & Flom LLP*, January 6, 2020

“Pros and Cons of Early Mediation,” *Corporate Disputes*, January-March 2020

## Speaking Engagements

“13th Annual Securities Litigation and Regulatory Enforcement Update | Part Four: Developments and Trends in Securities Litigation: A Year-End Update for 2020 and a Look Ahead to 2021,” Skadden, Arps, Slate, Meagher & Flom LLP Webinar, December 8, 2020

“Assessing *Cyan, Inc. v. Beaver County Employees Retirement Fund* From a Regional Perspective,” Skadden, Arps, Slate, Meagher & Flom LLP Webinar, May 17, 2018

“Current Trends and Developments in M&A Litigation,” Skadden, Arps, Slate, Meagher & Flom LLP’s Tenth Annual Securities Litigation and Regulatory Enforcement Seminar, New York, December 1, 2017

“Annual SEC/DOJ Enforcement 2017 Update,” Sandpiper Partners LLC and PricewaterhouseCoopers Seminar, May 18, 2017

“Mergers & Acquisitions – FCPA Considerations for Expansion,” Skadden, Arps, Slate, Meagher & Flom and Protiviti’s FCPA and Anti-Kleptocracy Conference, May 16, 2017

“Development and Trends in Securities and Credit Crisis Litigation: Part 2,” Skadden, Arps, Slate, Meagher & Flom LLP’s Ninth Annual Securities Litigation and Regulatory Enforcement Seminar, New York, December 2, 2016

“Meeting the Challenges of Increasing Number of Whistleblower Reports – Assess Your Potential Liability During Mock Whistleblower Scenarios,” ACI’s Midwest Anti-Corruption Compliance Forum, Chicago, June 27-28, 2016