

# Charles F. Walker

Skadden

Partner, Washington, D.C.

Securities Enforcement; Government Enforcement and White Collar Crime



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## Education

J.D., New York University  
School of Law, 1984

M.A., Northwestern University, 1980

B.A., Boston University, 1979  
(*magna cum laude*)

## Bar Admissions

District of Columbia  
New York

## Government Service

Securities Commissioner,  
State of Delaware, 1996-99

Deputy Attorney General,  
State of Delaware, 1993-96

Litigation Section, Office of the  
General Counsel, Securities and  
Exchange Commission, 1989-90

Chuck Walker is co-chair of Skadden's Litigation Group in the Washington, D.C. office. Mr. Walker has substantial experience representing individuals and corporations in investigations by the Securities and Exchange Commission, the Financial Industry Regulatory Authority, the United States Department of Justice, and state regulatory agencies, and in conducting corporate internal investigations. He frequently represents clients in highly sensitive and complex regulatory enforcement matters such as those involving allegations of insider trading, misleading or incomplete disclosure, improper accounting and other forms of financial fraud. He also represents individual and corporate clients in parallel investigations conducted by both the Securities and Exchange Commission and the United States Department of Justice.

In addition to a number of corporate internal investigations on behalf of both audit committees and management, some of Mr. Walker's notable engagements include successfully defending a bank holding company in connection with an SEC investigation of alleged financial statement fraud; the representation of a public company, its officers and employees in a concurrent SEC/U.S. Department of Justice investigation of alleged accounting fraud; and defending a foreign private issuer in a parallel SEC/U.S. Department of Justice inquiry relating to the issuer's financial reporting and disclosure.

Mr. Walker rejoined Skadden after serving as securities commissioner for the state of Delaware from 1996 to 1999. Earlier in his career, he served as a deputy attorney general for the state of Delaware and special counsel for the Securities and Exchange Commission.

Mr. Walker is a member of the firm's Ethics Committee. He also serves on the firm's Client Engagement and Diversity committees.

Mr. Walker has authored numerous articles on state and federal securities law issues and is the co-author of a leading guide on SEC procedure, *The SEC Enforcement Process: Practice and Procedure in Handling an SEC Investigation* (Bloomberg BNA, 2014).

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## Speaking Engagements

“Ethical Dilemmas in Preparation and Review of SEC Filings,” *PLI’s Securities Filings 2018: Practical Guidance in a Changing Environment*, New York, August 16-17, 2018

“Ethical Challenges,” *PLI’s Understanding Financial Products 2018*, New York, January 24-25, 2018

“Securities Law and Practice 2017: How the SEC Works,” *PLI*, New York, October 27, 2017

“Ethical Dilemmas in Preparation and Review of SEC Filings,” *PLI’s Securities Filings 2017: Practical Guidance in a Changing Environment*, New York, September 7-8, 2017

“Understanding Financial Products 2017,” *PLI*, New York, January 25-26, 2017

“Understanding Financial Products 2016,” *PLI*, New York, January 28-29, 2016

“Ethical Issues in SEC Practice,” *PLI’s Securities Filings 2015: Practical Guidance in a Changing Environment*, New York, September 3-4, 2015

## Publications

“Inside the Courts – An Update From Skadden Securities Litigators,” *Skadden, Arps, Slate, Meagher & Flom LLP*, September 2017 – present

“Cross-Border Investigations Update,” *Skadden, Arps, Slate, Meagher & Flom LLP*, October 2014 – present

“Decisions, Decisions: Leading Cases on the Supreme Court’s 2018 Business Docket,” *U.S. News – Best Lawyers “Best Law Firms” 2019*, December 2018

“SEC Enforcement Division Issues Annual Report: Increase in Enforcement Actions and Focus on Main Street and Cyber-Related Fraud,” *Skadden, Arps, Slate, Meagher & Flom LLP*, November 8, 2018

“SEC Investigative Report on Cybersecurity Emphasizes Internal Controls,” *Skadden, Arps, Slate, Meagher & Flom LLP*, October 19, 2019

“Supreme Court Applies Statute of Limitations to SEC Disgorgement Orders,” *Skadden, Arps, Slate, Meagher & Flom LLP*, June 7, 2017

“Ethical Considerations for Securities Lawyers,” *Understanding Financial Products, PLI*, 2017

“Supreme Court Applies Statute of Limitations to SEC Disgorgement Orders,” *Skadden, Arps, Slate, Meagher & Flom LLP*, June 7, 2017

“Appearing and Practicing Before the SEC: Ethical Considerations for Corporate Counsel,” *Securities Filings 2016: Practical Guidance in a Changing Environment, PLI*, 2016

“The SEC Enforcement Process: Latest Tips and Trend,” *Bloomberg BNA*, September 10, 2014

“Eleventh Circuit Addresses Scope of FCPA Coverage of Activity Involving State-Controlled Business Enterprises,” *Skadden, Arps, Slate, Meagher & Flom LLP*, May 20, 2014

“SEC Judge Issues Initial Decision Regarding Chinese Affiliates of the Big Four Accounting Firms,” *Skadden, Arps, Slate, Meagher & Flom LLP*, January 23, 2014

*The SEC Enforcement Process: Practice and Procedure in Handling an SEC Investigation* (Bloomberg BNA, 2014)

“Practicing Before the SEC: Ethical Considerations for Counsel,” *The Review of Securities and Commodities Regulation*, December 18, 2013

“The SEC’s Renewed Scrutiny on Accounting Cases: Expected Focus Areas and How Companies Can Prepare,” *Skadden, Arps, Slate, Meagher & Flom LLP*, September 23, 2013

“SEC Announces First Non-Prosecution Agreement in an FCPA Matter,” *The Harvard Law School Forum on Corporate Governance and Financial Regulation*, May 11, 2013

“SEC Enforcement Actions and Issuer Litigation in the Context of a ‘Short Attack,’” *The Business Lawyer*, Vol. 68, May 2013

“SEC Enforcement in the Second Term of the Obama Administration,” *Skadden, Arps, Slate, Meagher & Flom LLP*, January 23, 2013

“Thoughts on Improving the PCAOB Investigative and Enforcement Process,” *BNA Insights: Securities Regulation and Law Report*, December 3, 2012

“SEC and DOJ Release Highly Anticipated FCPA Resource Guide,” *Skadden, Arps, Slate, Meagher & Flom LLP*, November 19, 2012