JS 44C/SDNY REV. 4/2014

CIVIL COVER SHEET

The JS-44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for use of the Clerk of Court for the purpose of initiating the civil docket sheet.

PLAINTIFFS Securities and Exchange Commission DEFENDANTS VimpelCom Ltd

ATTORNEYS (FIRM NAME, ADDRESS, AND TELEPHONE NUMBER Securities and Exchange commission, 200 Vesey Street, Suite 400, New York, NY 10281, 212-336-1100, and 100 F Street, NE, Washington, DC 20549, 202-551-2000

ATTORNEYS (IF KNOWN) Miller & Chevalier Chartered, 655 15th Street, NW, Washington, DC 20005, 202-626-5800

CAUSE OF ACTION (CITE THE U.S. CIVIL STATUTE UNDER WHICH YOU ARE FILING AND WRITE A BRIEF STATEMENT OF CAUSE)

	(DO	NOT CITE JURISDICTIONAL	L STATUTES UNLESS DIVE	RSITY)		
15 U.S.C	i. §§ 78dd-1, 7	8m(b)(2)(A), and 78m(b)(2)(B) (violation of the	e federal securities laws)	
Has this a	iction, case, or p	roceeding, or one essenti	ially the same been previo	usly filed in SDNY at any ti	me? No⊠res ©Judge Pro	eviously Assigned
If yes, was this case Vol. \[\] Invol. \[\] Dismissed.			No Yes If yes, give date		& Case No	
İS THIS AN IN	ITERNATIONAL ARBIT	RATION CASE? No	× Yes			
(PLACE AN	(x) IN ONE BOX O	NLY)	NATURE OF S	SUIT		
		TORTS			ACTIONS UNDER STATUTES	
CONTRACT [] 110 [] 120 [] 130 [] 140 [] 150 [] 151 [] 152 [] 153 [] 160 [] 190 [] 195 [] 196 FRAI	INSURANCE MARINE MILLER ACT NEGOTIABLE INSTRUMENT RECOVERY OF OVERPAYMENT & ENFORCEMENT OF JUDGMENT MEDICARE ACT RECOVERY OF DEFAULTED STUDENT LOANS (EXCL VETERANS) RECOVERY OF OVERPAYMENT OF VETERANS BENEFITS STOCKHOLDERS SUITS OTHER CONTRACT CONTRACT CONTRACT PRODUCT LIABILITY NCHISE	PERSONALINJURY [] 310 AIRPLANE [] 315 AIRPLANE PRODUCT LIABILITY [] 320 ASSAULT, LIBEL & SLANDER [] 330 FEDERAL EMPLOYERS' LIABILITY [] 346 MARINE [] 345 MARINE PRODUCT LIABILITY [] 355 MOTOR VEHICLE [] 355 MOTOR VEHICLE [] 355 MOTOR VEHICLE [] 360 OTHER PERSONAL INJURY [] 360 OTHER PERSONAL INJURY [] 362 PERSONAL INJURY- MED MALPRACTICE ACTIONS UNDER STATUTES CIVIL RIGHTS [] 440 OTHER CIVIL RIGHTS (NOn-Prisoner)	PERSONAL INJURY [] 367 HEALTHCARE/ PHARMACEUTICAL PERSONAL INJURY/PRODUCT LIABILITY [] 368 PERSONAL INJURY PRODUCT LIABILITY [] 368 ASBESTOS PERSONAL INJURY PRODUCT LIABILITY PERSONAL PROPERTY [] 370 OTHER PERSONAL PROPERTY DAMAGE [] 380 OTHER PERSONAL PROPERTY DAMAGE [] 385 PROPERTY DAMAGE PRODUCT LIABILITY PRISONER PETITIONS [] 463 ALIEN DETAINEE [] 510 MOTIONS TO VACATE SENTENCE 28 USC 2255 [] 530 HABEAS CORPUS [] 535 DEATH PENALTY [] 540 MANDAMUS & OTHER	SEIZURE OF PROPERTY 21 USC 881	BANKRUPTCY [] 422 APPEAL 28 USC 158 [] 423 WITHDRAWAL 28 USC 157 PROPERTY RIGHTS [] 820 COPYRIGHTS [] 830 PATENT [] 840 TRADEMARK SOCIAL SECURITY [] 861 HIA (1395ff) [] 862 BLACK LUNG (923) [] 864 SSID TITLE XVI [] 865 RSI (405(g)) FEDERAL TAX SUITS [] 870 TAXES (U.S. Plaintiff or Defendant) [] 871 IRS-THIRD PARTY 26 USC 7609	OTHER STATUTES [] 375 FALSE CLAIMS [] 400 STATE REAPPORTIONMENT [] 410 ANTITRUST [] 430 BANKS & BANKING [] 450 COMMERCE [] 460 DEPORTATION [] 470 RACKETEER INFLUENCED & CORRUPT ORGANIZATION ACT (RICO) [] 480 CONSUMER CREDIT [] 490 CABLE/SATELLITE TV [] 850 SECURITIES/COMMODITIES/EXCHANGE [] 890 CTHER STATUTORY ACTIONS [] 891 AGRICULTURAL ACTS [] 893 ENVIRONMENTAL MATTERS [] 895 FREEDOM OF INFORMATION ACT [] 896 ARBITRATION
REAL PROPEI [] 210 [] 220 [] 230 [] 240 [] 245 [] 290 Che	LAND []442 E CONDEMNATION []443 H FORECLOSURE RENT LEASE & []445 A EJECTMENT TORTS TO LAND TORT PRODUCT []446	[] 441 VOTING [] 442 EMPLOYMENT [] 443 HOUSING/ ACCOMMODATIONS [] 445 AMERICANS WITH DISABILITIES- EMPLOYMENT [] 446 AMERICANS WITH DISABILITIES-OTHER [] 448 EDUCATION	PRISONER CIVIL RIGHTS [] 550 CIVIL RIGHTS [] 555 PRISON CONDITION [] 560 CIVIL DETAINEE CONDITIONS OF CONFINEM	IMMIGRATION [] 462 NATURALIZATION APPLICATION [] 465 OTHER IMMIGRATION ACTIONS ENT		[] 899 ADMINISTRATIVE PROCEDURE ACTIREVIEW OR APPEAL OF AGENCY DECISION [] 950 CONSTITUTIONALITY OF STATE STATUTES
		ACLASS ACTION	<u>DO YOU ÇLAIM</u>	THIS CASE IS RELATED	TO A CIVIL CASE NOW	PENDING IN S.D.N.Y.?
UNDER F.R.C.P. 23					D TO A CIVIL CASE NOW PENDING IN S.D.N.Y.?	
DEMAND \$ OTHER		OTHER	JUDGE	· · · · · · · · · · · · · · · · · · ·	DOCKET NUM	BEK
Check YES	only if demanded	in complaint				

JURY DEMAND: ☐ YES 図NO

NOTE: You must also submit at the time of filing the Statement of Relatedness form (Form IH-32).

(PLACE AN x IN ONE BOX ONLY) ORIGIN											
☑ 1 Original Proceeding	2 Removed from State Court a. all parties represente b. At least one	3 Remanded . 4 Reinstated of Reopened Appellate Court	_	rred from 6 Multic r District) Litigar							
	party is pro se.										
(PLACE AN X IN ONE BOX ONLY) BASIS OF JURISDICTION IF DIVERSITY, INDICATE CITIZENSHIP BELOW. (U.S. NOT A PARTY)											
CITIZENSHIP OF PRINCIPAL PARTIES (FOR DIVERSITY CASES ONLY)											
(Place an [X] in one box for Plaintiff and one box for Defendant)											
CITIZEN OF THIS STA	PTF DEF TE []1 []1	CITIZEN OR SUBJECT OF A FOREIGN COUNTRY		CORPORATED and PF F BUSINESS IN ANOTH							
CITIZEN OF ANOTHER	R STATE []2 []2	INCORPORATED of PRINCIPAL PLACE OF BUSINESS IN THIS STATE	[]4[]4 F	DREIGN NATION	[]6 []6						
PLAINTIFF(S) ADDRESS(ES) AND COUNTY(IES) Securities and Exchange commission, 200 Vesey Street, Suite 400, New York, NY 10281, New York County and 100 F Street, NE, Washington, DC 20549											
DEFENDANT(S) ADDRESS(ES) AND COUNTY(IES) VimpelCom Ltd, Claude Debussylaan 88, 1082 MD, Amsterdam, Netherlands											
DEFENDANT(S) ADDRESS UNKNOWN REPRESENTATION IS HEREBY MADE THAT, AT THIS TIME, I HAVE BEEN UNABLE, WITH REASONABLE DILIGENCE, TO ASCERTAIN RESIBENCE ADDRESSES OF THE FOLLOWING DEFENDANTS:											
(DO I		IS & PRISONER PETITION/PRISONEI	ADMITTE	ED TO PRACTICE IN	HATTAN THIS DISTRICT 10.10 Yr. 1992						
Magistrate Judg	e is to be designated	by the Clerk of the Court.									
	_	·		is so Des	ignated.						
Ruby J. Krajick,	Clerk of Court by	Deputy Clerk, DA	ATED								
UNITED STATES DISTRICT COURT (NEW YORK SOUTHERN)											